

AMENDMENT  
(under Article 34)

Commissioner, Esq.

1. International Application No.  
PCT/JP96/01939

2. Applicant

Name	NITTETSU MINING CO., LTD.
Address	3-2, Marunouchi 2-Chome, Chiyoda-ku, Tokyo 100-0005 JAPAN
Nationality	JAPAN
Country	JAPAN

3. Agent

Name	(7387) Patent Agent HAGINO Taira
------	-------------------------------------

4. Date of Amendment Order  
Voluntary

5. Subject of Amendments  
Specification  
Claims

6. Content of Amendments  
See attached sheets

(1) In the description, amend page 1, lines 4-15 "... a pigment, material for a cosmetic, and ... relates to a powder ..." to --relates to a multilayer-coated powder and a pigment and material for a cosmetic. More particularly, this invention relates to a pigment powder for use in a color ink and as a filler for plastics/papers; and a material for color cosmetics which comprises a lightweight powder--.

(2) In the description, amend page 2, lines 6-7 "mainly in applications, such as pigments, materials for cosmetics, ... electrorheological fluids" to --mainly in applications, such as pigments, and materials for cosmetics--.

(3) In the description, delete page 2, lines 8-27 "... electrorheological fluids (ERF), ... it has been proposed ... (Gekkan Tribology, Aug. 1994 issue, p.24)".

(4) In the description, delete page 3, lines 27-28 "Furthermore, ... it can be used ...".

(5) In the description, delete page 3, lines 27-28 and a lightweight powder which, when dispersed into a fluid, can give " can be obtained ... a material for a colored fluid".

(6) In the description, delete amend page 4, lines 7-20 "(1) ... a specific gravity ... an electrorheological fluid ..." to

--(1) A multilayer-coated powder comprising a base particle having a specific gravity of 0.1 to 10.5 and having thereon plural coating layers which are different from each other in refractive index;

(2) The multilayer-coated powder according to the above (1), wherein the base particle is a spherical or pulverized particle;

(3) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is an inorganic metal compound layer;

(4) The multilayer-coated powder according to the above (3), wherein the inorganic metal compound layer is a metal oxide film layer;

(5) The multilayer-coated powder according to the above (4), wherein at least one layer of the metal oxide films is formed by hydrolysis of a metal alkoxide;

(6) The multilayer-coated powder according to the above (4), wherein at least one layer of the metal oxide films is formed by a reaction of an aqueous solution of a metal salt;

(7) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is a metal layer or an alloy layer;

(8) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is an organic layer;

(9) The multilayer-coated powder according to the above (1), wherein the thickness of each unit of the coating layer is determined by fixing a fundamental film thickness thereof which satisfies the following equation (1):

$$N \times d = m \times \lambda / 4 \quad (1)$$

(wherein N represents a complex refractive index, d represents the fundamental film thickness, m represents an integer (natural number), and  $\lambda$  represents the wavelength at which the interference reflection peak or interference transmission peak appears, and N is defined by the following equation (2):

$$N = n + ik \quad (2)$$

(wherein n represents the refractive index of each unit coating layer, i represents complex number, and k represents extinction coefficient)), and correcting the actual thickness of the each unit of the coating layers based on the function of the phase shift caused by the extinction coefficient k of refractive index, the phase shift occurring at film interfaces, and the peak shift attributable to refractive index dispersion and particle

shape so that the each unit of the coating layers has an interference reflection peak or an interference transmission bottom at the same specific wavelength;

(10) A pigment powder, comprising the multilayer-coated powder according to any one of the above (1) to (8); and

(11) A material for a cosmetic, comprising the multilayer-coated powder according to any one of the above (1) to (8).--

(7) In the description, delete page 4, line 29 to page 5, line 1, "On the other hand, ... preferably ...".

(8) In the description, delete page 5, line 12 "micas, such as synthetic micas and common mica,".

(9) In the description, delete page 6, line 28 to page 7, line 8 delete "... an electrorheological fluid ... undesirable ..."

(10) In the description, delete page 9, line 15 to page 10, line 9 "... the present invention ... is usable ..."

(11) In the description, amend page 11, lines 7-10, "For example, ... if ...." to --If the thickness of the film formed on the base particle is easily explained, the Fresnel interference caused by parallel film formed on a parallel plane is designed under the conditions including the above equation (1) in which  $n$  has been replaced with  $N$  defined by the following equation (2). Even if a parallel film is formed on a parallel plane,--.

(12) In the description, page 20, line 25 to page 24, line 11, "Example 6 ... Example 8 ... had ...".

(13) In the description, page 24, line 22 to page 25, line 4, delete "Furthermore, ... has ...".

(14) Delete claim 8, and add claims 9-12.

#### 7. List of Attached Document

New pages 1, 2, 3, 4, 4/1, 5, 6, 7, 9, 10, 11, 11/1, 20, 24 in the description and new page 26 and 26/1 including all claims

## MULTILAYER-COATED POWDER

### TECHNICAL FIELD

The present invention relates to a multilayer-coated powder and a pigment and material for a cosmetic. More particularly, this invention relates to a pigment powder for use in a color ink and as a filler for plastics/papers; and a material for color cosmetics which comprises a lightweight powder.

### BACKGROUND ART

The present inventors previously invented a powder comprising a base particle of a metal or metal compound and having thereon a metal oxide film which has an even thickness from 0.01 to 20  $\mu\text{m}$  and in which the metal contained therein is different from the metal constituting the base particle in order to provide a powder which combines properties inherent only in the metal particle or metal compound particle with other properties so as to have a combination of functions (Unexamined Published Japanese Patent Application No. 6-228604). The present inventors further improved the above powder and invented a powder comprising a base particle having not a single layer of a metal oxide but plural layers of a metal oxide film and a metal film alternatively (Unexamined Published Japanese Patent Application No. 7-90310).

For producing these powders, it is necessary to form plural metal oxide films each having an even thickness over a base particle. Since it is difficult to deposit a metal oxide or a metal compound as a precursor therefrom an aqueous metal salt solution, the present inventors

further improved the above powder and invented a powder comprising a base particle having not a single layer of a metal oxide but plural layers of a metal oxide film and a metal film alternatively (Unexamined Published Japanese Patent Application No. 7-90310).

For producing these powders, it is necessary to form plural metal oxide films each having an even thickness over a base particle. Since it is difficult to deposit a metal oxide or a metal compound as a precursor therefrom an aqueous metal salt solution, the present inventors developed a method which comprises dispersing the base particle into a metal alkoxide solution and hydrolyzing the metal alkoxide to thereby form a metal oxide film on the base particle. Due to this method, it has become possible to form a thin metal oxide film which is even in thickness and, in particular, the formation of a multilayered metal oxide film has become possible.

Attempts were made to use these multilayer-coated powders as powders combining properties inherent only in given a base particle with other properties so as to have a combination of functions, mainly in applications, such as pigments, and materials for cosmetics.

However, for use in inks, the powders comprising a metal or metal compound as a base have a problem that the powder particles are apt to precipitate in the liquid before use because of their large specific gravity and are difficult to disperse evenly.

For use as a powder for inks, a filler for plastics, and the like, the powders should be colored. However, since use of a mechanochemical method results in pulverization of the pigment itself and, hence, in a reduced pigment particle diameter and a lighter color, it is necessary to use a dye or the like to color the powders. There also is a problem that when the powders are used as a filler for plastics and subjected to kneading so as to be dispersed into a resin, then powder particles separate from the shells formed by a mechanochemical method and this may result in a color change.

With respect to materials for cosmetics, in particular, materials for emulsion-form cosmetics, there is a problem that the powders are difficult to use. In the case of a powder obtained by depositing particles of titanium oxide or the like on the particle surfaces of, for example, a resin powder, there is a problem that powder particles separate from the surface coating shells during use to cause a color change.

Consequently, an object of the present invention is to eliminate these problems and provide: a pigment powder of a stable color tone which comprises a lightweight base particle and is capable of being colored without using a dye or pigment and with which not only a pigment for monochromatic color inks, e.g., blue, green, or yellow inks, can be obtained by dispersing the pigment powder into a fluid, but also a filler for plastics/papers can be designed; and a material for color cosmetics which is a powder with which monochromatic colored powders, such as mascaras or eyebrow pencils, can be designed and which is capable of absorbing ultraviolet and infrared rays harmful to the skin.

## DISCLOSURE OF THE INVENTION

The present inventors made intensive studies. As a result, they have found that a powder colored in a stable tone, such as blue, green, or yellow, can be obtained without a dye or a pigment by forming a thin film comprising plural layers differing in refractive index on the surface of a powder to regulate the multilayered film with respect to reflected-light interference waveform. The present invention has thus been completed.

Specifically, the present invention can be achieved by the following means:

(1) A multilayer-coated powder comprising a base particle having a specific gravity of 0.1 to 10.5 and having thereon plural coating layers which are different from each other in refractive index;

(2) The multilayer-coated powder according to the above (1), wherein the base particle is a spherical or pulverized particle;

(3) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is an inorganic metal compound layer;

(4) The multilayer-coated powder according to the above (3), wherein the inorganic metal compound layer is a metal oxide film layer;

(5) The multilayer-coated powder according to the above (4), wherein at least one layer of the metal oxide films is formed by hydrolysis of a metal alkoxide;

(6) The multilayer-coated powder according to the above (4), wherein at least one layer of the metal oxide films is formed by a reaction of an aqueous solution of a metal salt;

(7) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is a metal layer or an alloy layer;

(8) The multilayer-coated powder according to the above (1), wherein at least one of the coating layers is an organic layer;

(9) The multilayer-coated powder according to the above (1), wherein the thickness of each unit of the coating layer is determined by fixing a fundamental film thickness thereof which satisfies the following equation (1):

$$N \times d = m \times \lambda / 4 \quad (1)$$

(wherein  $N$  represents a complex refractive index,  $d$  represents the fundamental film thickness,  $m$  represents an integer (natural number), and  $\lambda$  represents the wavelength at which the interference reflection peak or interference transmission peak appears, and  $N$  is defined by the following equation (2):

$$N = n + ik \quad (2)$$

(wherein  $n$  represents the refractive index of each unit coating layer,  $i$  represents complex number, and  $k$  represents extinction coefficient)),

and correcting the actual thickness of the each unit of the coating layers based on the function of the phase shift caused by the extinction coefficient  $\kappa$  of refractive index, the phase shift occurring at film interfaces, and the peak shift attributable to refractive index dispersion and particle shape so that the each unit of the coating layers has an interference reflection peak or an interference transmission bottom at the same specific wavelength;

(10) A pigment powder, comprising the multilayer-coated powder according to any one of the above (1) to (8); and

(11) A material for a cosmetic, comprising the multilayer-coated powder according to any one of the above (1) to (8).

In the present invention, the base particle having a specific gravity from 0.1 to 10.5, which constitutes the base of the multilayer-coated powder, is not particularly limited and may be made of either an organic or an inorganic substance. The specific gravity of this base is preferably from 0.1 to 5.5, more preferably from 0.1 to 2.8, from the standpoints of flowability and suspensibility. If the base has a specific gravity below 0.1, the buoyancy of the base is so large that the film should be made to comprise a larger number of layers or to be exceedingly thick, which is uneconomical. On the other hand, if the base has a specific gravity exceeding 10.5, a thick film for suspending the base is necessary, which also is uneconomical. In addition, in the case of cosmetics and the like, such a powder having too large a particle diameter is unsuitable because it gives a sandy feeling.



For use in a pigment powder or a powder as a material for cosmetics, the base is preferably an inorganic substance because it is not influenced by the heat of burning in the step of coating film formation which will be described later. On the other hand, for use in a powder for electrorheological fluids, the base is preferably an organic substance, e.g., resin particles, from the standpoint of dispersibility into liquids.

Examples of the resin particles include cellulose powders, cellulose acetate powders, and spherical or pulverized particles obtained from polyamides, epoxy resins, polyesters, melamine resins, polyurethanes, vinyl acetate resins, and silicone resins or obtained by the polymerization or copolymerization of acrylic esters, methacrylic esters, styrene, ethylene, propylene, and derivatives thereof. Especially preferred resin particles are spherical acrylic resin particles obtained by the polymerization of acrylic acid or a methacrylic ester.

Usable as the inorganic substance are inorganic hollow particles, such as Shirasu balloons (hollow silicic acid particles), fine hollow carbon particles (Kureca Sphere), fused alumina bubbles, aerosil, white carbon, fine hollow silica spheres, fine hollow calcium carbonate spheres, calcium carbonate, pearlite, talc, bentonite, kaolin, and the like.

In the multilayer-coated powder of the present invention, the plural coating layers formed on each base particle having a specific gravity from 0.1 to 10.5 should be different from each other in refractive index. The materials constituting these coating layers are desirably selected suitably from inorganic metal compounds, metals, alloys, and organic substances.

These coating layers each is formed as a dense and continuous film, whereby they can have excellent properties.

Typical examples of the inorganic metal compounds which may constitute the coating layers include metal oxides. Specific examples thereof include the oxides of iron, nickel, chromium, titanium, aluminum, silicon, calcium, magnesium, and barium and composite oxides of these metals, such as barium titanate and lead titanate. Examples of the metal compounds other than metal oxides include metal fluorides, such as magnesium fluoride and calcium fluoride; metal nitrides, such as iron nitride; metal sulfides, such as zinc sulfide and cadmium sulfide; metal carbonates, such as calcium carbonate; metal phosphates, such as calcium phosphate; and metal carbides. In particular, silica or the like enables the multilayer-coated powder to have satisfactory properties.

Examples of the elemental metals which may constitute the coating layers include silver metal, cobalt metal, nickel metal, and

iron metal. Examples of the metal alloys include iron-nickel alloys, iron-cobalt alloys, iron-nickel alloy nitrides, and iron-nickel-cobalt alloy nitrides.

The organic substances which may constitute the coating layers may be the same as or different from the aforementioned organic substances usable for constituting the base, and are not particularly limited. However, resins are preferred. Examples of the resins include cellulose, cellulose acetate, polyamides, epoxy resins, polyesters, melamine resins, polyurethanes, vinyl acetate resins, silicone resins, and polymers or copolymers of acrylic esters, methacrylic esters, styrene, ethylene, propylene, and derivatives thereof.

Although various materials can be used to constitute the coating layers as described above, a suitable combination of materials should be selected according to the kind of a pigment or coating material, purposes, the substance to be coated, etc., while taking account of the refractive index of each coating layer.

If the powder is for use as a material for cosmetics, the coating layer as the outermost layer should, of course, be constituted of a material which is inert to the living body or which at least does not adversely influence the living body. Typical examples of such materials include titanium dioxide.

Titanium dioxide is thought to be effective also because it has the property of specifically absorbing ultraviolet waves. By using a metal film and a titanium oxide film and suitably regulating their film thicknesses, a powder having a high reflectance throughout the whole infrared region can be obtained and a UV- and IR-cutting cosmetic can be prepared therefrom.

If the coating layers contain one or more organic substance layers, the other layers each is preferably a metal oxide film.

In the present invention, the organic powder to be coated may have any particle shape. Although particles of irregular shapes, such as pulverized particles, can be coated and colored, spherical particles are especially preferred.

The particle diameter of the multilayer-coated powder of the present invention is not particularly limited, and can be suitably regulated according to purposes. However, the particle diameter thereof is generally in the range from 0.01  $\mu\text{m}$  to several millimeters. If the powder is to be used for coloring as a coloring material based on visible light interference, the particle diameter thereof is preferably regulated to 0.06 to 1,000  $\mu\text{m}$ .

The unit coating layers constituting the plural coating layers are preferably ones whose thicknesses have been determined so that these layers have interference reflection peaks or interference transmission bottoms at the same specific wavelength. More preferably, the thickness of each unit of the coating layer is determined by fixing a fundamental film thickness thereof which satisfies the following equation (1):

$$N \times d = m \times \lambda / 4 \quad (1)$$

(wherein  $N$  represents a complex refractive index,  $d$  represents the fundamental film thickness,  $m$  represents an integer (natural number), and  $\lambda$  represents the wavelength at which the interference reflection peak or interference transmission peak appears, and  $N$  is defined by the following equation (2):

$$N = n + ik \quad (2)$$

(wherein  $n$  represents the refractive index of each unit coating layer,  $i$  represents complex number, and  $k$  represents extinction coefficient), and correcting the actual thickness of the each unit of the coating layers based on the function of the phase shift caused by the extinction coefficient  $k$  of refractive index, the phase shift occurring at film interfaces, and the peak shift attributable to refractive index dispersion and particle shape so that the each unit of the coating layers has an interference reflection peak or an interference transmission bottom at the same specific wavelength as shown above.

For forming these films, the following methods may be used according to the substances to be deposited. However, other methods can be used.

(1) Formation of Organic Substance Film (Resin Film):

a. Polymerization in Liquid Phase

Use can be made, for example, of a method in which particles serving as a base are dispersed and emulsion polymerization is conducted to form a resin film on each particle.

b. Film Formation in Vapor Phase (CVD) (PVD)

(2) Formation of Inorganic Metal Compound Film:

a powder is obtained in which the base particle has, on the surface thereof, two layers comprising a high-refractive-index metal oxide film and a low-refractive-index metal oxide film. Furthermore, the above procedure for forming metal oxide films is repeated to thereby obtain a powder in which each particle has multiple metal oxide films on its surface. Since the powder thus obtained has high-refractive-index metal oxide films alternately arranged with low-refractive-index metal oxide films as stated hereinabove, it has a high reflectance and high whiteness.

For forming a metal film on a base particle or on a metal oxide film, contact electroplating or sputtering may be used, besides the electroless plating described above. However, the contact electroplating has a drawback that powder particles not in contact with an electrode are not plated, while the sputtering has a drawback that a metal vapor is not evenly applied to the powder particles. Namely, the thickness of the coating formed by either method varies from particle to particle. In contrast, the method of film formation by electroless plating is preferred in that a dense and even film can be formed and the film thickness is easy to regulate. The metal film is preferably subjected to a heat treatment after film formation in the same manner as for the metal oxide films.

The present invention will be explained below in more detail by reference to the drawings. Fig. 1 is a sectional view diagrammatically illustrating the structure of a particle of a multilayer-coated powder according to the present invention. The particle comprises a base particle 1 having a specific gravity from 0.1 to 10.5 as a nucleus and, alternately formed on the surface thereof, two coating layers 2 and two coating layers 3 differing in refractive index from the layers 2.

A special function can be imparted by regulating the thicknesses of the coating films differing in refractive index alternately formed on the surface of each base particle. For example, coating films differing in refractive index are alternately formed on each base particle so as to satisfy the following equation (1). Namely, films which each is made of a substance having a refractive index  $n$  and has a thickness  $d$  corresponding to  $m$  (integer) times the value which is one-fourth a wavelength of visible light are formed in an appropriate thickness and number. As a result, the light having a specific wavelength  $\lambda$  (the light utilizing Fresnel's interference reflection) is reflected or absorbed.

$$nd = m\lambda/4$$

(1)

This function is utilized as follows. An oxide film having such a thickness and refractive index as to satisfy equation (1) with respect to a target wavelength of visible light is formed on the surface of each base particle, and this film is coated with an oxide film having a different refractive index. This procedure is conducted once or repeated one or more times to thereby form films which have a characteristic reflection or absorption wavelength width in the visible light region. In the above procedure, the sequence of material deposition for film formation is determined in the following manner. When the base has a high refractive index, a film having a low refractive index is preferably formed as the first layer. In the reverse case, a film having a high refractive index is preferably formed as the first layer.

Film thickness is controlled based on a measurement in which the change of optical film thickness, which is the product of the refractive index of the film and the film thickness, is determined as reflection waveform with a spectrophotometer or the like.

The thickness of each layer is designed so that the reflection waveform conforms to the finally required waveform.

For example, if the unit coating films constituting a multilayered film have reflection waveform peaks at different positions as shown in Fig. 2, the powder is white. On the other hand, when the unit coating films are regulated so that the reflection waveform peaks thereof are in exactly the same position as shown in Fig. 3, a monochromatic colored powder, e.g., a blue, green, or yellow powder, can be obtained without using a dye or pigment.

However, in the case of an actual powder, a design should be made while taking account of the particle diameter and shape of the powder, the phase shift occurring at interfaces between film materials and the base particle material, the peak shift attributable to the wavelength dependence of refractive index, etc. If the thickness of the film formed on the base particle is easily explained, the Fresnel interference caused by parallel film formed on a parallel plane is designed under the conditions including the above equation (1) in which  $n$  has been replaced with  $N$  defined by the following equation (2). Even if a parallel film is formed on a parallel plane, extinction coefficient  $k$  is included in the refractive index  $N$  of the metal defined by equation (2) even though the particle shape is a plane parallel plate shape. In the case of transparent oxides (dielectrics),  $k$  is exceedingly small and negligible.

$$N = n + ik \quad (2)$$

( $i$  represents a complex number)

When the attenuation coefficient  $k$  is large, an enhanced phase shift occurs at the interface between the film material and the base particle material, and this phase shift influences the optimum interference thicknesses of all layers of the multilayered film.

Because of the above, the mere regulation of geometrical film thicknesses results in different peak positions and, hence, in a lighter color especially in monochromatic coloring. In order to avoid this, a design is made beforehand through a computer simulation so as to result in an optimal combination of film thicknesses while taking account of influences of the phase shift on all films.

There also are the phase shift caused by an oxide layer present on a metal surface and the peak shift attributable to the wavelength dependence of refractive index. In order to correct these, it is necessary to use a spectrophotometer or the like to find optimal conditions under which reflection peaks or absorption bottoms appear at target wavelengths in a final target number of films.

In a film formed on a curved surface such as that of a spherical particle, interference occurs similarly to that on plane plates and is basically in accordance with Fresnel's interference principle. Consequently, a coloring method can be designed so as to produce a white powder and a monochromatic powder as shown in Figs. 2 and 3. However, in the case of curved surfaces, the light which has struck on the powder and has been reflected causes complicated interference. The resultant interference waveforms are almost the same as on plane plates when the number of films is small. However, as the total number of films increases, the interference within the multilayered film becomes more complicated.

In the case of a multilayered film also, a spectral reflection curve can be designed beforehand based on Fresnel interference through a computer.

and dissolving the same, boiling the resultant solution for 5 minutes, cooling the solution, and then adding 100 ml of ethanol.

Third layer: titania coating

Into 250 ml of ethanol was dispersed 10 g of the silver metal-titania-coated powder E. The container was heated with an oil bath to keep the temperature of the liquid at 55°C. Thereto was added 4.5 g of titanium isopropoxide. This mixture was stirred. A solution prepared by mixing 30 ml of ethanol with 5.5 g of water was added dropwise to the above mixture over 60 minutes, and the resultant mixture was allowed to react for 2 hours. This reaction mixture was diluted and washed with a sufficient amount of ethanol and then dried with a vacuum dryer at 180°C for 8 hours. After the drying, titania-silver metal-coated powder E was obtained. The titania-silver metal-coated powder E obtained had satisfactory dispersibility and was composed of independent particles. This powder had a spectral reflection curve having a bottom wavelength of 585 nm, from which the reflectance increased toward both sides. The powder E had a maximum reflectance of 45% and was purplish-red. In the infrared region, the reflectance increased throughout the range from 780 to 910 nm due to reflection by the silver film, and the maximum reflectance was 60%. In the ultraviolet region, however, ultraviolet light having wavelengths of 300 nm and smaller was absorbed by the silver film and the titania films, and the reflectance in this region was not higher than 5%.

The refractive index and film thickness of each of the first to third layers are shown in Table 5.



#### INDUSTRIAL APPLICABILITY

As described above, according to the present invention, a pigment powder retaining a stable color tone even in long-term storage can be provided which comprises a lightweight base particle and is capable of being colored without using a dye or pigment and with which not only a pigment for monochromatic color inks, e.g., blue, green, or yellow inks, can be obtained by dispersing the pigment powder into a fluid, but also a filler for plastics/papers can be designed.

A monochromatic colored powder, e.g., a blue, green, or yellow powder, can be designed for use as a material for mascaras and eyebrow pencils. Since this powder for use as a material for cosmetics contains no dye or pigment, it is free from the fading caused by the deficiency or denaturation of a dye or pigment and is capable of retaining a bright color over long. It is also possible to design a powder of a multilayer structure which not only serves to color but functions to absorb electromagnetic radiation having harmful wavelengths, such as ultraviolet and infrared rays, and thus prevent the radiation to reach the skin, and which is for use as a material for, e.g., UV-cutting (sunscreen) creams or foundations.